

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)

Docket No.

3548.04-1

JUL 26 2002

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

Mathias Pauls

Rabon Sargent

1711

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, D.C. 20231

This is a request for filing a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/473,276 filed on November 10, 1999 and entitled:

PREPOLYMER COMPOSITION FOR INSULATING FOAMS

1. ☒ Enter the unentered amendment previously filed on March 18, 2002 under 37 CFR 1.116 in the prior nonprovisional application. (Enclosed)
2. ☒ A preliminary amendment is enclosed. Preliminary Amendment AA.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).

a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. ☐ A new power of attorney or authorization of agent is enclosed.

5. ☒ Small Entity Status:

a. ☐ A small entity statement is enclosed.

b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

6. ☐ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	16	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$370.00
TOTAL FILING FEE					\$370.00

07/30/2002 AMNDRAF1 00000123 09437276

01 Fee:231 370.00 00

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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No.

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of \$370.00 is enclosed.

9. ☐ An Information Disclosure Statement (IDS) is enclosed:

- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations

10. ☒ Also enclosed:

Signed Declaration of Inventor.

11. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

SAME

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NOTES

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FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

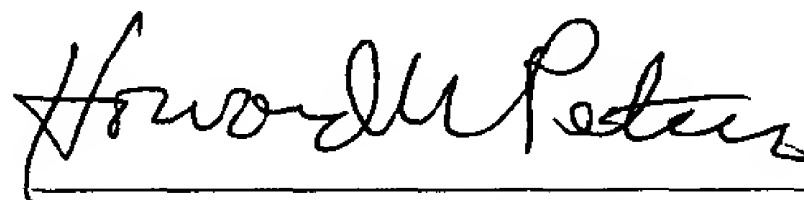
C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: 26 July 2002



Signature

HOWARD M. PETERS

Typed or printed name

29, 202

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

3548.04-1



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PATENT
P36195 US (TH/KL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (EO/US)

In re Application of)
Inventor's Name: Mathias Pauls, et al.) Group Art: 1711
U.S. Serial No.: 09/437,276) Examiner: Rabon Sargent
U.S. Filing Date: November 10, 1999)
Int. Filing Date February 10, 1994)
Priority Date Claimed: February 10, 1993)
For: PREPOLYMER COMPOSITION FOR)
INSULATING FOAMS)

Box CPA
Assistant Commissioner for Patents
Washington, D.C. 20231

#21
08/12/02
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JUL 31 2002
TECHNOLOGY CENTER 1700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No. EV119303451US) in an envelope addressed to: Box CPA, Assistant Commissioner for Patents, Washington, D.C. 20231 on July 26, 2002.

Howard M. Peters (Reg. No. 29,202)

PRELIMINARY AMENDMENT AA

Sir:

Enclosed please find a Declaration of Inventor.